

Type Theory and Coq

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Lecture: Simple Type Theory à la Curry: assigning types to untyped terms, **principal type algorithm**

Overview of today's lecture

- Simple Type Theory ($\lambda \rightarrow$) à la Curry (versus à la Church)
- Principal Types algorithm
- Properties of $\lambda \rightarrow$.
- Dependent Type Theory λP
- Type checking for λP .

Why do we want types? (programmers perspective)

- Types give a (partial) specification
- Typed terms can't go wrong (Milner) [Subject Reduction property](#)
- Typed terms always terminate
- The type checking algorithm detects (simple) mistakes

But: The compiler should compute the type information for us! (Why would the programmer have to type all that?)

This is called a [type assignment system](#), or also [typing à la Curry](#):

For M an [untyped term](#), the type system [assigns](#) a type σ to M (or not)

$\lambda \rightarrow$ à la Church and à la Curry

$\lambda \rightarrow$ (à la Church):

$$\frac{x:\sigma \in \Gamma}{\Gamma \vdash x : \sigma} \quad \frac{\Gamma \vdash M : \sigma \rightarrow \tau \quad \Gamma \vdash N : \sigma}{\Gamma \vdash MN : \tau} \quad \frac{\Gamma, x:\sigma \vdash P : \tau}{\Gamma \vdash \lambda x:\sigma.P : \sigma \rightarrow \tau}$$

$\lambda \rightarrow$ (à la Curry):

$$\frac{x:\sigma \in \Gamma}{\Gamma \vdash x : \sigma} \quad \frac{\Gamma \vdash M : \sigma \rightarrow \tau \quad \Gamma \vdash N : \sigma}{\Gamma \vdash MN : \tau} \quad \frac{\Gamma, x:\sigma \vdash P : \tau}{\Gamma \vdash \lambda x.P : \sigma \rightarrow \tau}$$

Examples

- **Typed Terms:**

$$\lambda x : \alpha. \lambda y : (\beta \rightarrow \alpha) \rightarrow \alpha. y(\lambda z : \beta. x)$$

has **only** the type $\alpha \rightarrow ((\beta \rightarrow \alpha) \rightarrow \alpha) \rightarrow \alpha$

- **Type Assignment:**

$$\lambda x. \lambda y. y(\lambda z. x)$$

can be **assigned** the types

- $\alpha \rightarrow ((\beta \rightarrow \alpha) \rightarrow \alpha) \rightarrow \alpha$
- $(\alpha \rightarrow \alpha) \rightarrow ((\beta \rightarrow \alpha \rightarrow \alpha) \rightarrow \gamma) \rightarrow \gamma$
- ...

with $\alpha \rightarrow ((\beta \rightarrow \alpha) \rightarrow \gamma) \rightarrow \gamma$ being the **principal type**

Connection between Church and Curry typed $\lambda \rightarrow$

Definition The **erasure** map $| - |$ from $\lambda \rightarrow$ à la Church to $\lambda \rightarrow$ à la Curry is defined by erasing all type information.

$$\begin{aligned} |x| &:= x \\ |M N| &:= |M| |N| \\ |\lambda x : \sigma. M| &:= \lambda x. |M| \end{aligned}$$

So, e.g.

$$|\lambda x : \alpha. \lambda y : (\beta \rightarrow \alpha) \rightarrow \alpha. y(\lambda z : \beta. x)| = \lambda x. \lambda y. y(\lambda z. x)$$

Theorem If $M : \sigma$ in $\lambda \rightarrow$ à la Church, then $|M| : \sigma$ in $\lambda \rightarrow$ à la Curry.

Theorem If $P : \sigma$ in $\lambda \rightarrow$ à la Curry, then there is an M such that $|M| \equiv P$ and $M : \sigma$ in $\lambda \rightarrow$ à la Church.

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Theorem If $P : \sigma$ in $\lambda \rightarrow$ à la Curry, then there is an M such that $|M| \equiv P$ and $M : \sigma$ in $\lambda \rightarrow$ à la Church.

Proof: by induction on derivations.

$$\frac{x:\sigma \in \Gamma}{\Gamma \vdash x : \sigma} \quad \frac{\Gamma \vdash M : \sigma \rightarrow \tau \quad \Gamma \vdash N : \sigma}{\Gamma \vdash MN : \tau} \quad \frac{\Gamma, x:\sigma \vdash P : \tau}{\Gamma \vdash \lambda x. P : \sigma \rightarrow \tau}$$

Example of computing a **principal type**

$$\lambda x^\alpha . \lambda y^\beta . y^\beta (\lambda z^\gamma . \overbrace{y^\beta x^\alpha}^\delta)$$

ε

1. Assign type vars to all variables: $x : \alpha, y : \beta, z : \gamma$.
2. Assign type vars to all applicative subterms: $yx : \delta, y(\lambda z. yx) : \varepsilon$.
3. Generate equations between types, necessary for the term to be typable: $\beta = \alpha \rightarrow \delta$ $\beta = (\gamma \rightarrow \delta) \rightarrow \varepsilon$
4. Find a **most general unifier** (a **substitution**) for the type vars that solves the equations: $\alpha := \gamma \rightarrow \delta, \beta := (\gamma \rightarrow \delta) \rightarrow \varepsilon, \delta := \varepsilon$
5. The **principal type** of $\lambda x. \lambda y. y(\lambda z. yx)$ is now

$$(\gamma \rightarrow \varepsilon) \rightarrow ((\gamma \rightarrow \varepsilon) \rightarrow \varepsilon) \rightarrow \varepsilon$$

Exercises to compute a principal type

1. Compute the principal type of $S := \lambda x. \lambda y. \lambda z. x z (y z)$
2. Compute the principal type of $M := \lambda x. \lambda y. x (y (\lambda z. x z z)) (y (\lambda z. x z z))$.
3. Consider the following two terms
 - $(\lambda x. \lambda y. x (\lambda z. y)) (\lambda w. w)$
 - $(\lambda x. \lambda y. y (\lambda z. y)) (\lambda w. w)$

For each of these terms, compute its principle type, if it exists. Otherwise show that the principal type algorithm returns “reject”.

Principal Types: Definitions

- A **type substitution** (or just **substitution**) is a map S from type variables to types. (Note: we can **compose** substitutions.)
- A **unifier** of the types σ and τ is a substitution that “makes σ and τ equal”, i.e. an S such that $S(\sigma) = S(\tau)$
- A **most general unifier** (or **mgu**) of the types σ and τ is the “simplest substitution” that makes σ and τ equal, i.e. an S such that
 - $S(\sigma) = S(\tau)$
 - for all substitutions T such that $T(\sigma) = T(\tau)$ there is a substitution R such that $T = R \circ S$.

All these notions generalize to lists of types $\sigma_1, \dots, \sigma_n$ in stead of pairs σ, τ .

Computability of most general unifiers

There is an algorithm U that, when given types $\sigma_1, \dots, \sigma_n$ outputs

- A **most general unifier** of $\sigma_1, \dots, \sigma_n$, if $\sigma_1, \dots, \sigma_n$ can be unified.
- “**Fail**” if $\sigma_1, \dots, \sigma_n$ can't be unified.
- $U(\langle \alpha = \alpha, \dots, \sigma_n = \tau_n \rangle) := U(\langle \sigma_2 = \tau_2, \dots, \sigma_n = \tau_n \rangle)$.
- $U(\langle \alpha = \tau_1, \dots, \sigma_n = \tau_n \rangle) := \text{“reject”}$ if $\alpha \in \text{FV}(\tau_1)$, $\tau_1 \neq \alpha$.
- $U(\langle \sigma_1 = \alpha, \dots, \sigma_n = \tau_n \rangle) := U(\langle \alpha = \sigma_1, \dots, \sigma_n = \tau_n \rangle)$
- $U(\langle \alpha = \tau_1, \dots, \sigma_n = \tau_n \rangle) := [\alpha := \mathbf{V}(\tau_1), \mathbf{V}]$, if $\alpha \notin \text{FV}(\tau_1)$,
where \mathbf{V} abbreviates
 $U(\langle \sigma_2[\alpha := \tau_1] = \tau_2[\alpha := \tau_1], \dots, \sigma_n[\alpha := \tau_1] = \tau_n[\alpha := \tau_1] \rangle)$.
- $U(\langle \mu \rightarrow \nu = \rho \rightarrow \xi, \dots, \sigma_n = \tau_n \rangle) := U(\langle \mu = \rho, \nu = \xi, \dots, \sigma_n = \tau_n \rangle)$

Principal type

Definition σ is a **principal type** for the untyped λ -term M if

- $M : \sigma$ in $\lambda \rightarrow$ à la Curry
- for all types τ , if $M : \tau$, then $\tau = S(\sigma)$ for some substitution S .

Theorem: Principal Types

There is an algorithm PT that, when given an (untyped) λ -term M , outputs

- A **principal type** σ such that $M : \sigma$ in $\lambda \rightarrow$ à la Curry.
- “Fail” if M is not typable in $\lambda \rightarrow$ à la Curry.

Typical problems one would like to have an algorithm for

$M : \sigma?$	Type Checking Problem	TCP
$M : ?$	Type Synthesis Problem	TSP
$? : \sigma$	Type Inhabitation Problem (by a closed term)	TIP

For $\lambda \rightarrow$, all these problems are **decidable**,
both for the **Curry** style and for the **Church** style presentation.

- TCP and TSP are (usually) equivalent: To solve $MN : \sigma$, one has to solve $N : ?$ (and if this gives answer τ , solve $M : \tau \rightarrow \sigma$).
- For **Curry** systems, TCP and TSP soon become **undecidable** beyond $\lambda \rightarrow$.
- TIP is undecidable for most extensions of $\lambda \rightarrow$, as it corresponds to **provability** in some logic.

λP : dependent type theory

Type checking is already difficult (interesting) for the Church case:

- types contain terms: “everything depends on everything”
- β -reduction inside types

λP -rules: axiom, application, abstraction, product

$$\overline{\vdash * : \square}$$

$$\frac{\Gamma \vdash M : \Pi x : A. B \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B[x := N]}$$

$$\frac{\Gamma, x : A \vdash M : B \quad \Gamma \vdash \Pi x : A. B : s}{\Gamma \vdash \lambda x : A. M : \Pi x : A. B}$$

$$\frac{\Gamma \vdash A : * \quad \Gamma, x : A \vdash B : s}{\Gamma \vdash \Pi x : A. B : s}$$

λP -rules: weakening, variable, conversion

$$\frac{\Gamma \vdash A : B \quad \Gamma \vdash C : s}{\Gamma, x : C \vdash A : B}$$

$$\frac{\Gamma \vdash A : s}{\Gamma, x : A \vdash x : A}$$

$$\frac{\Gamma \vdash A : B \quad \Gamma \vdash B' : s}{\Gamma \vdash A : B'}$$

with $B =_{\beta} B'$

Example

$$\begin{aligned}\Gamma &:= A : *, c : A, R : A \rightarrow A \rightarrow *, f : A \rightarrow A, \\ &k : \Pi x:A. R c x, \\ &h : \Pi x, y:A. R x y \rightarrow R (f x) (f y), \\ &r : \Pi x, y:A. R x y \rightarrow R x (f y)\end{aligned}$$

Construct a term N such that

$$\Gamma \vdash N : \Pi x, y:A. R (f x) y \rightarrow R (f(f x)) (f(f y)).$$

Curry-Howard-de Bruijn for minimal predicate logic

introduction rules versus abstraction rule

$$\frac{\begin{array}{c} [A^x] \\ \vdots \\ B \end{array}}{A \rightarrow B} I[x] \rightarrow \quad \frac{\begin{array}{c} \vdots \\ B \end{array}}{\forall x. B} I\forall$$

$$\frac{\Gamma, x : A \vdash M : B \quad \Gamma \vdash \Pi x : A. B : s}{\Gamma \vdash \lambda x : A. M : \Pi x : A. B}$$

elimination rules versus application rule

$$\frac{\begin{array}{c} \vdots \\ A \rightarrow B \end{array} \quad \begin{array}{c} \vdots \\ A \end{array}}{B} E_{\rightarrow} \quad \frac{\begin{array}{c} \vdots \\ \forall x. B \end{array}}{B[x := N]} E_{\forall}$$

$$\frac{\Gamma \vdash M : \Pi x : A. B \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B[x := N]}$$

Example

Prove the following formula (and find an appropriate context to do so in)

$$(\forall x. P(x) \rightarrow Q(x)) \rightarrow (\forall x. P(x)) \rightarrow \forall y. Q(y)$$

Properties of λP

- **Uniqueness of types**
If $\Gamma \vdash M : \sigma$ and $\Gamma \vdash M : \tau$, then $\sigma =_{\beta} \tau$.
- **Subject Reduction**
If $\Gamma \vdash M : \sigma$ and $M \longrightarrow_{\beta} N$, then $\Gamma \vdash N : \sigma$.
- **Strong Normalization**
If $\Gamma \vdash M : \sigma$, then all β -reductions from M terminate.

Proof of SN is by defining a reduction preserving map from λP to $\lambda \rightarrow$.

Decidability Questions

$\Gamma \vdash M : \sigma?$ TCP

$\Gamma \vdash M : ?$ TSP

$\Gamma \vdash ? : \sigma$ TIP

For λP :

- TIP is **undecidable**
- TCP/TSP: simultaneously with **Context checking**

Type Checking

Define algorithms $\text{Ok}(-)$ and $\text{Type}_-(-)$ simultaneously:

- $\text{Ok}(-)$ takes a **context** and returns **'true'** or **'false'**
- $\text{Type}_-(-)$ takes a **context** and a **term** and returns a **term** or **'false'**.

The **type synthesis algorithm** $\text{Type}_-(-)$ is **sound** if

$$\text{Type}_\Gamma(M) = A \Rightarrow \Gamma \vdash M : A$$

for all Γ and M .

The **type synthesis algorithm** $\text{Type}_-(-)$ is **complete** if

$$\Gamma \vdash M : A \Rightarrow \text{Type}_\Gamma(M) =_\beta A$$

for all Γ , M and A .

$$\text{Ok}(\langle \rangle) = \text{'true'}$$

$$\text{Ok}(\Gamma, x:A) = \text{Type}_\Gamma(A) \in \{*, \mathbf{kind}\},$$

$$\text{Type}_\Gamma(x) = \text{if } \text{Ok}(\Gamma) \text{ and } x:A \in \Gamma \text{ then } A \text{ else 'false'},$$

$$\text{Type}_\Gamma(\mathbf{type}) = \text{if } \text{Ok}(\Gamma) \text{ then } \mathbf{kind} \text{ else 'false'},$$

$$\begin{aligned} \text{Type}_\Gamma(MN) = & \text{if } \text{Type}_\Gamma(M) = C \text{ and } \text{Type}_\Gamma(N) = D \\ & \text{then} \quad \text{if } C \twoheadrightarrow_\beta \Pi x:A.B \text{ and } A =_\beta D \\ & \quad \text{then } B[x := N] \text{ else 'false'} \\ & \text{else} \quad \text{'false'}, \end{aligned}$$

$$\begin{aligned} \text{Type}_{\Gamma}(\lambda x:A.M) &= \text{if } \text{Type}_{\Gamma,x:A}(M) = B \\ &\quad \text{then} \quad \text{if } \text{Type}_{\Gamma}(\Pi x:A.B) \in \{\mathbf{type}, \mathbf{kind}\} \\ &\quad \quad \text{then } \Pi x:A.B \text{ else 'false'} \\ &\quad \text{else 'false'}, \\ \text{Type}_{\Gamma}(\Pi x:A.B) &= \text{if } \text{Type}_{\Gamma}(A) = \mathbf{type} \text{ and } \text{Type}_{\Gamma,x:A}(B) = s \\ &\quad \text{then } s \text{ else 'false'} \end{aligned}$$

Soundness and Completeness

Soundness

$$\text{Type}_\Gamma(M) = A \Rightarrow \Gamma \vdash M : A$$

Completeness

$$\Gamma \vdash M : A \Rightarrow \text{Type}_\Gamma(M) =_\beta A$$

As a consequence:

$$\text{Type}_\Gamma(M) = \text{'false'} \Rightarrow M \text{ is not typable in } \Gamma$$

NB 1. Completeness implies that `Type` terminates on **all well-typed terms**. We want that `Type` terminates on **all pseudo terms**.

NB 2. Completeness only makes sense if we have **uniqueness of types** (Otherwise: let `Type_(-)` generate a **set of possible types**)

Termination

We want $\text{Type}_-(_)$ to **terminate** on all inputs.

Interesting cases: λ -abstraction and application:

$$\begin{aligned} \text{Type}_\Gamma(\lambda x:A.M) &= \text{if } \text{Type}_{\Gamma,x:A}(M) = B \\ &\quad \text{then} \quad \text{if } \text{Type}_\Gamma(\Pi x:A.B) \in \{\mathbf{type}, \mathbf{kind}\} \\ &\quad \quad \text{then } \Pi x:A.B \text{ else 'false'} \\ &\quad \text{else 'false'}, \end{aligned}$$

! Recursive call is not on a **smaller** term!

Replace the side condition

$$\text{if } \text{Type}_\Gamma(\Pi x:A.B) \in \{\mathbf{type}, \mathbf{kind}\}$$

by

$$\text{if } \text{Type}_\Gamma(A) \in \{\mathbf{type}\}$$

Termination

We want $\text{Type}_-(_)$ to **terminate** on all inputs.

Interesting cases: λ -abstraction and application:

$$\begin{aligned} \text{Type}_\Gamma(MN) &= \text{if } \text{Type}_\Gamma(M) = C \text{ and } \text{Type}_\Gamma(N) = D \\ &\quad \text{then } \text{if } C \twoheadrightarrow_\beta \Pi x:A.B \text{ and } A =_\beta D \\ &\quad \quad \text{then } B[x := N] \text{ else 'false'} \\ &\quad \text{else 'false'}, \end{aligned}$$

! Need to decide β -reduction and β -equality!

For this case, **termination** follows from soundness of Type and the **decidability of equality** on **well-typed** terms (using **SN** and **CR**).