

# H-Levels and Truncation

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# Outline

- 1 Sets and n-levels
- 2 Propositions
- 3 Mere Propositions
- 4 Classical vs intuitionistic logic
- 5 Propositional truncation

# Set

## Definition (3.1.3)

A type  $A$  is a set if for all  $x, y : A$  and for all  $p, q : x =_A y$ , we have  $p = q$ . More precisely, the type  $A$  is a set if the following proposition is inhabited:

$$\text{isSet}(A) := \prod_{(x,y:A)} \prod_{(p,q:x=y)} (p = q).$$

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## Example

The type  $\mathbf{1}$  is a set, as for any  $x, y : \mathbf{1}$ , we have that  $x = y \simeq \mathbf{1}$ . As for all  $p', q' : \mathbf{1}$ ,  $p' = q'$ , we have that for all  $p, q : x = y$ ,  $p = q$ .

# More Sets

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If  $A$  and  $B$  are sets, then  $A \times B$  is also a set.

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If  $A$  and  $B$  are sets, then  $A \times B$  is also a set.

## Proof.

For any  $x, y : A \times B$  and  $p, q : x = y$ , it holds that:

$p = \text{pair}_=(ap_{pr_1}(p), ap_{pr_2}(p))$  and  $q = \text{pair}_=(ap_{pr_1}(q), ap_{pr_2}(q))$ .

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$p = \text{pair}_=(ap_{pr_1}(p), ap_{pr_2}(p))$  and  $q = \text{pair}_=(ap_{pr_1}(q), ap_{pr_2}(q))$ . As  $A$  is a set,  $ap_{pr_1}(p) = ap_{pr_1}(q)$ , and as  $B$  is a set,  $ap_{pr_2}(p) = ap_{pr_2}(q)$ , thus  $p = q$ . □

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## Example

The type  $\mathbf{0}$  is a set.

## Example

The type  $\mathbb{N}$  is a set, as for all  $m, n : \mathbb{N}$ , either  $m = n \simeq \mathbf{0}$  or  $m = n \simeq \mathbf{1}$ .

# Not all types are Sets

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## Example

The type  $\mathcal{U}$  is not a set.

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## Example

The type  $\mathcal{U}$  is not a set.

## Proof.

Take  $A := \mathbf{2}$  in  $\mathcal{U}$  and define  $f : \mathbf{2} \rightarrow \mathbf{2}$  by  $f(1_2) := f(0_2)$  and  $f(0_2) := f(1_2)$ .

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Then  $f$  is an equivalence as  $f(f(x)) = x$ . By univalence  $f$  leads to a path  $p : \mathbf{2} = \mathbf{2}$ , and  $refl_2 : \mathbf{2} = \mathbf{2}$  is also a path.

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If  $p = refl_2$  then by univalence,  $f$  is the identity function, thus  $0_2 = f(1_2) = 1_2$ , which is a contradiction. □

# Homotopy $n$ -type

- Sets, also known as **0-types** have the property that there are no non-trivial paths between inhabitants.  
Similarly, types with no non-trivial paths between paths are known as **1-types**.

## Definition (3.1.7)

A type  $A$  is a **1-type** if for all  $x, y : A$ ,  $p, q : x = y$  and  $r, s : p = q$ , it holds that  $r = s$ .

- Similarly, it is possible to define 2-types, 3-types for all  $n \in \mathbb{N}$ .

# Homotopy 1-type

## Lemma

If  $A$  is a set, then  $A$  is a **1-type**.

## Proof.

If  $A$  is a set, then  $f : \text{isSet}(A)$ , and hence for all  $x, y : A$ ,  $p, q : x = y$ , we have  $f(x, y, p, q) : p = q$ .



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Fix  $x, y, p$  and define  $g(q) \equiv f(x, y, p, q)$ . For any  $r : q = q'$  we have  $\text{apd}_g(r) : r_*(g(q)) = g(q')$  and hence  $g(q) \cdot r = g(q')$  by Lemma 2.11.2.



## Lemma (2.11.2)

For any  $A : \mathcal{U}$ ,  $a, x_1, x_2 : A$  and  $p : x_1 = x_2$  we have:

$$\text{transport}^{x_1 \rightarrow (a=x_1)}(p, q) = q \cdot p \quad \text{for } q : a = x_1.$$

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# Proposition

- Previously we used the propositions as types to describe propositions and predicates. For instance, “there exists an  $x$  in  $A$  such that  $P(x)$ ” is interpreted by  $\sum_{x:A} P(x)$ .

## Initial Representation of Axiom of Choice

If for all  $x : X$  there exists an  $a : A(x)$  such that  $P(x, a)$ , then there exists a  $g : \prod_{x:X} A(x)$  such that for all  $x : X$  we have  $P(x, g(x))$ .

- In Homotopic Type Theory the above is not an axiom as in classical logic.
- However, statements representing the *law of double negation* and *law of excluded middle* are not always true.

# Law of Double Negation 1/5

## Theorem (Law of Double Negation)

*It is not true that for all  $A : \mathcal{U}$ , we have that  $\neg(\neg A) \rightarrow A$ .*

## Proof.

Let  $f : \prod_{A:\mathcal{U}}(\neg\neg A \rightarrow A)$  be given.

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Let  $e : \mathbf{2} \simeq \mathbf{2}$  be given by  $e(0_2) = 1_2$  and  $e(1_2) = 0_2$ .

Then let  $p : \mathbf{2} = \mathbf{2}$  be given by  $p := ua(e)$ .

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Then  $f(\mathbf{2}) : \neg\neg\mathbf{2} \rightarrow \mathbf{2}$  and:

$$apd_f(p) : transport^{A \mapsto (\neg\neg A \rightarrow A)}(p, f(\mathbf{2})) = f(\mathbf{2}),$$

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hence for  $u : \neg\neg\mathbf{2}$ :

$$happly(apd_f(p), u) : transport^{A \mapsto (\neg\neg A \rightarrow A)}(p, f(\mathbf{2}))(u) = f(\mathbf{2})(u).$$

## Law of Double Negation 2/5

Proof.

$$\text{apd}_f(p) : \text{transport}^{A \mapsto (\neg\neg A \rightarrow A)}(p, f(\mathbf{2})) = f(\mathbf{2}).$$

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$$\text{apd}_f(p) : \text{transport}^{A \mapsto (\neg\neg A \rightarrow A)}(p, f(\mathbf{2})) = f(\mathbf{2}).$$

Then by Lemma 2.9.4 we find that:

$$\begin{aligned} \text{transport}^{A \mapsto (\neg\neg A \rightarrow A)}(p, f(\mathbf{2}))(u) = \\ \text{transport}^{A \mapsto A}(p, f(\mathbf{2}))(\text{transport}^{A \mapsto \neg\neg A}(p^{-1}, u)). \end{aligned}$$

Lemma (2.9.4)

$$\begin{aligned} \text{transport}^{x \mapsto A(x) \rightarrow B(x)}(p, f) = \\ (x \mapsto \text{transport}^{x \mapsto B(x)}(p, f(\text{transport}^{x \mapsto A(x)}(p^{-1}, x))) \end{aligned}$$

## Law of Double Negation 3/5

Proof.

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However any  $u, v : \neg\neg\mathbf{2}$  are equal as  $u(x) : \mathbf{0}$  and  $v(x) : \mathbf{0}$  for all  $x : \neg\mathbf{2}$ . Hence we find that  $u(x) = v(x)$ , and by function extensionality  $u = v$

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$$\mathit{transport}^{A \mapsto \neg\neg A}(p^{-1}, u) = u.$$

## Law of Double Negation 4/5

### Proof.

- $\text{transport}^{A \mapsto (\neg\neg A \rightarrow A)}(p, f(\mathbf{2}))(u) = \text{transport}^{A \mapsto A}(p, f(\mathbf{2}))(\text{transport}^{A \mapsto \neg\neg A}(p^{-1}, u))$ ,
- $\text{transport}^{A \mapsto \neg\neg A}(p^{-1}, u) = u$ ,
- $\text{happly}(\text{apd}_f(p), u) : \text{transport}^{A \mapsto (\neg\neg A \rightarrow A)}(p, f(\mathbf{2}))(u) = f(\mathbf{2})(u)$ .

## Law of Double Negation 4/5

### Proof.

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- $\text{transport}^{A \mapsto \neg\neg A}(p^{-1}, u) = u,$
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From these we may derive that:

$$\text{transport}^{A \mapsto A}(p, f(\mathbf{2})(u)) = f(\mathbf{2})(u),$$

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### Proof.

- $\text{transport}^{A \mapsto (\neg\neg A \rightarrow A)}(p, f(\mathbf{2}))(u) = \text{transport}^{A \mapsto A}(p, f(\mathbf{2}))(\text{transport}^{A \mapsto \neg\neg A}(p^{-1}, u))$ ,
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From these we may derive that:

$$\text{transport}^{A \mapsto A}(p, f(\mathbf{2})(u)) = f(\mathbf{2})(u),$$

but taking into account  $p \equiv ua(e)$  we find that:

$$e(f(\mathbf{2})(u)) = f(\mathbf{2})(u).$$

# Law of Double Negation 5/5

## Proof.

We determined that:

$$e(f(\mathbf{2})(u)) = f(\mathbf{2})(u).$$

But by case distinction it can also be proven that:

$$\prod_{x:\mathbf{2}} \neg(e(x) = x).$$



# Law of Excluded Middle

## Corollary (Law of Excluded Middle)

*It is not true that for all  $A : \mathcal{U}$ , we have  $A + \neg A$ .*

## Proof.

Suppose we have  $g : \sum_{A:\mathcal{U}}(A + \neg A)$ , then we will show that  $\prod_{A:\mathcal{U}}(\neg\neg A \rightarrow A)$ .

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Let  $A : \mathcal{U}$  and  $u : \neg\neg A$ . Then  $g(A) : A + \neg A$ .

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By case distinction, either  $g(A) \equiv \text{inl}(a)$  and  $a : A$  or  $g(A) \equiv \text{inr}(w)$  and  $w : \neg A$ .

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In the first case, we have  $a : A$ .

In the second case we have  $u(w) : \mathbf{0}$ , from which we can obtain an  $a : A$ . □

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## Mere Propositions

- A non-trivial univalence ( $e$ ) on  $\mathbf{2}$  was important to disprove the Law of Double Negation.
- In a classical logic, a proposition only has the information whether it is true or false.
- Using types however, we also know specifically which inhabitant we have for the type.

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- A non-trivial univalence ( $e$ ) on  $\mathbf{2}$  was important to disprove the Law of Double Negation.
- In a classical logic, a proposition only has the information whether it is true or false.
- Using types however, we also know specifically which inhabitant we have for the type.

### Definition (3.3.1)

A type  $A$  is a **mere proposition** if for all  $x, y : A$ , we have  $x = y$ . Given as a type this is equivalent to:

$$\text{isProp}(A) := \prod_{x, y : A} x = y.$$

### Example

The type  $\mathbf{1}$  is a mere proposition, as by induction  $x \equiv \star$  and  $y \equiv \star$ , thus  $\text{refl}_\star : x = y$ .

# Mere Propositions Properties

## Lemma (3.3.3)

If  $P, Q$  are **mere propositions** such that  $P \rightarrow Q$  and  $Q \rightarrow P$ , then  $P \simeq Q$ .

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## Proof.

Let  $P, Q$  be **mere propositions**,  $f : P \rightarrow Q$  and  $g : Q \rightarrow P$ , then for all  $x : P$  we have  $g(f(x)) : P$  so  $g(f(x)) = x$ .

Similarly for  $y : Q$ , we have  $f(g(y)) = y$ . So  $f, g$  are quasi-inverses.  $\square$

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## Lemma (3.3.2)

If  $P$  is a **mere proposition** and  $x_0 : P$  then  $P \simeq \mathbf{1}$ .

- The latter lemma corresponds to a space being contractible in homotopy theory.

# Mere Proposition Is A Set

## Lemma (3.3.4)

Every **mere proposition** *is a set*.

## Proof.

Similar to proving that **sets** are **1-types**. □

# Mere Proposition Is A Set

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Every **mere proposition** is a set.

### Proof.

Suppose  $f : \text{isProp}(A)$ , then for all  $x, y : A$ ,  $f(x, y) : x = y$ . Fix  $x$  and define  $g(y) :\equiv f(x, y)$ . Then for all  $y, z : A$  and  $p : y = z$  we have  $\text{apd}_g(p) : p_*(g(y)) = g(z)$  and hence  $g(y) \cdot p = g(z)$  and hence  $p = g(y)^{-1} \cdot g(z)$ . Choosing any  $y, z : A$  and  $p, q : y = z$  we find that  $p = g(y)^{-1} \cdot g(z) = q$ . □

# More Mere Propositions

## Lemma (3.3.5a)

*For any type  $A$ ,  $\text{isProp}(A)$  is a mere proposition.*

## Lemma (3.3.5b)

*For any type  $A$ ,  $\text{isSet}(A)$  is a mere proposition.*

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## Lemma (3.3.5a)

*For any type  $A$ ,  $\text{isProp}(A)$  is a mere proposition.*

## Lemma (3.3.5b)

*For any type  $A$ ,  $\text{isSet}(A)$  is a mere proposition.*

## Proof.

Suppose  $f, g : \text{isSet}(A)$ . Let  $x, y : A$  and  $p, q : x = y$  be given, then  $f(x, y, p, q) : p = q$  and  $g(x, y, p, q) : p = q$ .

As  $A$  is a set,  $A$  is a 1-type, therefore  $f(x, y, p, q) = g(x, y, p, q)$ .

Hence by function extensionality,  $f = g$ . □

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# Laws that are not laws

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- It is also not the case that for all  $A : \mathcal{U}$  we have  $(\neg\neg A \rightarrow A)$ .

# Actual laws

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- These laws are equivalent to each other.

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## Example

A proof by contradiction uses the law of double negation. We assume  $\neg A$  and derive a contradiction, implying  $\neg\neg A$ , which in turn implies  $A$  by double negation. However, a proof by contradiction can often be rephrased slightly into a direct proof of  $A$ .

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  - ▶ A theorem is more powerful when it uses less assumptions, since it applies to more situations.
  - ▶ One of the virtues of type theory is its computable character. LEM does not have this computable character, since it asserts that certain things exist without giving a way to compute them.

# Decidable propositions

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- LEM implies that all sets have decidable equality.

# Outline

- 1 Sets and n-levels
- 2 Propositions
- 3 Mere Propositions
- 4 Classical vs intuitionistic logic
- 5 Propositional truncation**

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- The logical connectives can be represented by restricting the corresponding type formers to mere propositions.
- This requires knowing that these type formers preserve mere propositions.

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Given any  $p, q : A \times B$ , we have  $\text{pr}_1(p) =_A \text{pr}_1(q)$  and  $\text{pr}_2(p) =_B \text{pr}_2(q)$ , since  $A$  and  $B$  are mere propositions. So  $p = q$ . □

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- Since  $\mathbf{0}$  is a mere proposition, so is  $\neg A \equiv (A \rightarrow \mathbf{0})$ .

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- To get the 'or' of classical logic, we need to truncate this type into a mere proposition by forgetting the additional information.
- It is similar for the  $\Sigma$ -type, representing 'there exists', because an inhabitant of a  $\Sigma$ -type remembers the witness.

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For any type  $A$ , there is a type  $\|A\|$ . It has two constructors:

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- The first constructor ensures that if  $A$  is inhabited, then so is  $\|A\|$ .
  - The second constructor ensures that  $\|A\|$  is a mere proposition.

# Recursion principle

## Recursion principle of truncation

If  $B$  is a mere proposition and we have  $f : A \rightarrow B$ , then there is an induced  $g : ||A|| \rightarrow B$  such that  $g(|a|) \equiv f(a)$  for all  $a : A$ .

- In other words, any mere proposition that follows from the inhabitedness of  $A$ , already follows from  $||A||$ .

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- Since  $Q$  is a mere proposition, it suffices to construct a function  $A + B \rightarrow Q$ , because of the recursion principle.
- Now it is possible to use case analysis on  $A + B$ .

# Mere propositional $\Sigma$ -type

- For a type family  $P : A \rightarrow \mathcal{U}$ ,  $\|\sum_{(x:A)} P(x)\|$  is a mere propositional version of 'there exists an  $x : A$  such that  $P(x)$  holds'.

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- When trying to prove a mere proposition, if we have an assumption  $\|\sum_{(x:A)} P(x)\|$ , then by the recursion principle we may introduce the new assumptions  $x : A$  and  $y : P(x)$ .

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- Note that in the absence of LEM, it is possible that  $B \cup (A \setminus B) \neq A$ , with  $B$  a subset of  $A$ .

# The end

Are there questions?