

Realizability and Parametricity in Pure Type Systems

Jean-Philippe Bernardy and Marc Lasson

Erin Leachman and Kati Overbeeke

Overview

- ▶ Recap previous sections
 - ▶ Second-level terms
 - ▶ Lifting
 - ▶ Projection
- ▶ Parametricity
- ▶ Realizability
- ▶ Realizability to Parametricity
- ▶ Third-level terms
- ▶ Parametricity to Realizability

Recap: Second-level terms

Definition (Second-level system)

Given a PTS $P = (\mathcal{S}, \mathcal{A}, \mathcal{R})$, define (P^2) . That is, $P^2 = (\mathcal{S}^2, \mathcal{A}^2, \mathcal{R}^2)$, where

$$\mathcal{S}^2 = \mathcal{S} \cup \{\lceil s \rceil \mid s \in \mathcal{S}\}$$

$$\mathcal{A}^2 = \mathcal{A} \cup \{(\lceil s_1 \rceil, \lceil s_2 \rceil) \mid (s_1, s_2) \in \mathcal{A}\}$$

$$\mathcal{R}^2 = \mathcal{R} \cup \{(\lceil s_1 \rceil, \lceil s_2 \rceil, \lceil s_3 \rceil), (s_1, \lceil s_3 \rceil, \lceil s_3 \rceil) \mid (s_1, s_2, s_3) \in \mathcal{R}\} \cup \\ \{(s_1, \lceil s_2 \rceil, \lceil s_2 \rceil) \mid (s_1, s_2) \in \mathcal{A}\}$$

Example

The PTS F^2 is an example for P^2 , and will be used in further examples.

Recap: Intuition of 2nd level

- ▶ *Type*: term inhabiting a first-level sort ($\Gamma : A : s$)
- ▶ *Program*: inhabitant of a *type* ($\Gamma : A : B : s$)
- ▶ *Formula*: inhabitant of a lifted sort ($\Gamma : A : [s]$)
- ▶ *Proof*: inhabitant of a *formula* ($\Gamma : A : B : [s]$)

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So, *Type* and *Program* are first-level terms, *Formula* and *Proof* are second-level terms.

Recap: Lifting

Definition (Lifting)

$$\llbracket x \rrbracket = \dot{x}$$

$$\llbracket s \rrbracket = \llbracket s \rrbracket$$

$$\llbracket \Pi x : A. B \rrbracket = \Pi \dot{x} : \llbracket A \rrbracket. \llbracket B \rrbracket$$

$$\llbracket \lambda x : A. B \rrbracket = \lambda \dot{x} : \llbracket A \rrbracket. \llbracket B \rrbracket$$

$$\llbracket AB \rrbracket = \llbracket A \rrbracket \llbracket B \rrbracket$$

$$\llbracket \langle \rangle \rrbracket = \langle \rangle$$

$$\llbracket \Gamma, x : A \rrbracket = \llbracket \Gamma \rrbracket, \dot{x} : \llbracket A \rrbracket$$

Recap: Projection

Definition (Projection)

$$\begin{array}{ll} \llbracket x^{[s]} \rrbracket &= \dot{x}^s \\ \llbracket [s] \rrbracket &= s \\ \llbracket \Pi_{x^s} : A.B \rrbracket &= \llbracket B \rrbracket \\ \llbracket \Pi_{x^{[s]}} : A.B \rrbracket &= \Pi_{\dot{x}^s} : \llbracket A \rrbracket . \llbracket B \rrbracket \\ \llbracket \lambda_{x^s} : A.B \rrbracket &= \llbracket B \rrbracket \\ \llbracket \lambda_{x^{[s]}} : A.B \rrbracket &= \lambda_{\dot{x}^s} : \llbracket A \rrbracket . \llbracket B \rrbracket \\ \llbracket (AB)_s \rrbracket &= \llbracket A \rrbracket \\ \llbracket (AB)_{[s]} \rrbracket &= \llbracket A \rrbracket \llbracket B \rrbracket \\ \hline \llbracket \langle \rangle \rrbracket &= \langle \rangle \\ \llbracket \Gamma, x^s : A \rrbracket &= \llbracket \Gamma \rrbracket \\ \llbracket \Gamma, x^{[s]} : A \rrbracket &= \llbracket \Gamma \rrbracket, \dot{x}^s : \llbracket A \rrbracket \end{array}$$

pause Note : $\llbracket [A] \rrbracket = A$

Parametricity

- ▶ $\overline{C} \in \llbracket T \rrbracket_n$
An n -tuple of programs $\overline{C}$ satisfies the relation generated by a type T
- ▶ $\langle\!\langle C \rangle\!\rangle_n$
A proof that an n -tuple $\overline{C}$ satisfies the relation generated by the type T , for a program C of type T
- ▶ The tuple $\overline{A}$ denotes n terms A_i , where A_i is the term A where each free variable x is replaced by a fresh variable x_i .

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Example

If $A = \lambda(x : \alpha).x$ and we are considering a binary relation, then $\overline{A} = (A_1, A_2) = (\lambda(x : \alpha_1).x, \lambda(x : \alpha_2).x)$.

Defining parametricity

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$(C_1, C_2) \in \llbracket T \rrbracket$	$= \langle T \rangle C_1 C_2, \text{ otherwise}$
$\langle x \rangle$	$= \dot{x}$
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$\langle A B \rangle$	$= \langle A \rangle B_1 B_2 \langle B \rangle$
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$\llbracket \langle \rangle \rrbracket$	$= \langle \rangle$
$\llbracket \Gamma, x:A \rrbracket$	$= \llbracket \Gamma \rrbracket, x_1:A_1, x_2:A_2, \dot{x}:(x_1, x_2) \in \llbracket A \rrbracket$

Parametricity examples

Example

$$\bar{x} \in \llbracket \alpha \rrbracket_2$$

$$\equiv (x_1, x_2) \in \llbracket \alpha \rrbracket$$

$$\equiv \langle \alpha \rangle x_1 x_2$$

$$\equiv \mathring{\alpha} x_1 x_2$$

Parametricity examples

Example

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$$\equiv \langle \alpha \rangle x_1 x_2$$

$$\equiv \mathring{\alpha} x_1 x_2$$

$$\langle \alpha : *, x : \alpha \rangle_2$$

$$\equiv \langle \alpha : * \rangle_2, x_1 : \alpha_1, x_2 : \alpha_2, \mathring{x} : (x_1, x_2) \in \llbracket \alpha \rrbracket_2$$

$$\equiv \alpha_1 : *, \alpha_2 : *, \mathring{\alpha} : (\alpha_1, \alpha_2) \in \llbracket * \rrbracket, x_1 : \alpha_1, x_2 : \alpha_2, \mathring{x} : (x_1, x_2) \in \llbracket \alpha \rrbracket$$

$$\equiv \alpha_1 : *, \alpha_2 : *, \mathring{\alpha} : \alpha_1 \rightarrow \alpha_2 \rightarrow \lceil * \rceil, x_1 : \alpha_1, x_2 : \alpha_2, \mathring{x} : \mathring{\alpha} x_1 x_2$$

Parametricity examples

Example

$$\begin{aligned}\bar{x} &\in \llbracket \alpha \rrbracket_2 \\ &\equiv (x_1, x_2) \in \llbracket \alpha \rrbracket \\ &\equiv \langle \alpha \rangle_{x_1 x_2} \\ &\equiv \hat{\alpha} x_1 x_2\end{aligned}$$

$$\begin{aligned}\langle \alpha : *, x : \alpha \rangle_2 \\ &\equiv \langle \alpha : * \rangle_2, x_1 : \alpha_1, x_2 : \alpha_2, \hat{x} : (x_1, x_2) \in \llbracket \alpha \rrbracket_2 \\ &\equiv \alpha_1 : *, \alpha_2 : *, \hat{\alpha} : (\alpha_1, \alpha_2) \in \llbracket * \rrbracket, x_1 : \alpha_1, x_2 : \alpha_2, \hat{x} : (x_1, x_2) \in \llbracket \alpha \rrbracket \\ &\equiv \alpha_1 : *, \alpha_2 : *, \hat{\alpha} : \alpha_1 \rightarrow \alpha_2 \rightarrow \llbracket * \rrbracket, x_1 : \alpha_1, x_2 : \alpha_2, \hat{x} : \hat{\alpha} x_1 x_2\end{aligned}$$

Example

Take $T = \alpha \rightarrow \alpha = \prod x : \alpha. \alpha$. Then

$$\begin{aligned}C &\in \llbracket T \rrbracket_1 \\ &\equiv \prod x : \alpha. \prod \hat{x} : x \in \llbracket \alpha \rrbracket. Cx \in \llbracket \alpha \rrbracket \\ &\equiv \prod (x : \alpha) (\hat{x} : \hat{\alpha} x). \hat{\alpha} Cx \\ &\equiv \prod (x : \alpha). \hat{\alpha} x \rightarrow \hat{\alpha} (Cx)\end{aligned}$$

Abstraction

Theorem (Abstraction)

If $\Gamma \vdash A : B : s$, then $\langle \Gamma \rangle \vdash \langle A \rangle : (\overline{A} \in \llbracket B \rrbracket) : \lceil s \rceil$.

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Theorem (Abstraction)

If $\Gamma \vdash A : B : s$, then $\langle \Gamma \rangle \vdash \langle A \rangle : (\overline{A} \in \llbracket B \rrbracket) : \lceil s \rceil$.

Example

Consider $\alpha : *, x : \alpha \vdash x : \alpha : *$.

Then $\langle \alpha : *, x : \alpha \rangle \vdash \langle x \rangle : (x_1, x_2) \in \llbracket \alpha \rrbracket : \lceil * \rceil$.

Realizability

- ▶ A program f realizes $A \in P^2$.
- ▶ Notation: $f \Vdash A$ and $\langle p \rangle$.
 - ▶ First: $f \Vdash A$: " f realizes A "
 - ▶ Then: translate *proof* p to *proof* $\langle p \rangle$; denotes that $\lfloor p \rfloor$ satisfies realizability predicate.
- ▶ Difference in A being a first-level or second-level term.
 - ▶ $\langle \lambda x^s : A.B \rangle = \lambda x^s : A. \langle B \rangle$
 - ▶ $\langle \lambda x^{[s]} : A.B \rangle = \lambda(\dot{x}^s : \lfloor A \rfloor)(x^{[s]} : \dot{x} \Vdash A). \langle B \rangle$

Realizability definition

Definition (Realizability)

$$\begin{aligned}C \Vdash [s] &= C \rightarrow [s] \\C \Vdash \Pi x^s : A. B &= \Pi x^s : A. C \Vdash B \\C \Vdash \Pi x^{[s]} : A. B &= \Pi(\dot{x}^s : \lfloor A \rfloor)(x^{[s]} : \dot{x} \Vdash A). C \dot{x} \Vdash B \\C \Vdash F &= \langle F \rangle C, \text{ otherwise}\end{aligned}$$

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$$\begin{array}{ll} \langle x^{[s]} \rangle & = x^{[s]} \\ \langle \lambda x^s : A. B \rangle & = \lambda x^s : A. \langle B \rangle \\ \langle \lambda x^{[s]} : A. B \rangle & = \lambda(\dot{x}^s : \lfloor A \rfloor)(x^{[s]} : \dot{x} \Vdash A). \langle B \rangle \\ \langle (AB)_s \rangle & = (\langle A \rangle B)_s \\ \langle (AB)_{[s]} \rangle & = (((\langle A \rangle \lfloor B \rfloor)_s \langle B \rangle)_{[s]}) \\ \langle T \rangle & = \lambda z^s : \lfloor T \rfloor. z \Vdash T, \text{ otherwise} \end{array}$$

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$C \Vdash [s]$	$= C \rightarrow [s]$
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$\langle (AB)_s \rangle$	$= (\langle A \rangle B)_s$
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$\langle T \rangle$	$\lambda z^s : \lfloor T \rfloor. z \Vdash T, \text{ otherwise}$
$\langle \Gamma, x^s : A \rangle$	$= \langle \Gamma \rangle, x^s : A$
$\langle \Gamma, x^{[s]} : A \rangle$	$= \langle \Gamma \rangle, \dot{x}^s : \lfloor A \rfloor, x^{[s]} : \dot{x} \Vdash A$

Realizability example

Example

$$\langle \alpha^\square : *, x^* : \alpha \rangle$$

$$\equiv \langle \alpha^\square : * \rangle, x^* : \alpha$$

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Example

$$\begin{aligned} & \langle \beta^{[\square]} : [*], y^{[*]} : \beta \rangle \\ & \equiv \langle \beta^{[\square]} : [*] \rangle, \dot{y}^* : \lfloor \beta \rfloor, y^{[*]} : \dot{y} \Vdash \beta \\ & \equiv \dot{\beta}^\square : \lfloor [*] \rfloor, \beta^{[\square]} : \dot{\beta} \Vdash [*], \dot{y}^* : \lfloor \beta \rfloor, y^{[*]} : \dot{y} \Vdash \beta \\ & \equiv \dot{\beta}^\square : *, \beta^{[\square]} : \dot{\beta} \rightarrow [*], \dot{y}^* : \lfloor \beta \rfloor, y^{[*]} : \langle \beta \rangle \dot{y} \\ & \equiv \dot{\beta}^\square : *, \beta^{[\square]} : \dot{\beta} \rightarrow [*], \dot{y}^* : \lfloor \beta \rfloor, y^{[*]} : \beta^{[\square]} \dot{y} \end{aligned}$$

Adequacy - Example

If $\Gamma \vdash A : B : [s]$, then $\langle \Gamma \rangle \vdash \langle A \rangle : [A] \Vdash B : [s]$.

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Realizability increases arity of parametricity

Theorem

For any tuple terms $(B, \overline{C})$,

- ▶ $(B, \overline{C}) \in \llbracket A \rrbracket_{n+1} = B \Vdash \overline{C} \in \llbracket A \rrbracket_n$
- ▶ $\langle \llbracket A \rrbracket_{n+1} \rangle = \langle \langle \llbracket A \rrbracket_n \rangle \rangle$

Proof.

By induction on the structure of A .



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Example

$$(f, g) \in \llbracket \Pi(\alpha : *). \alpha \rightarrow \alpha \rrbracket_2 = f \Vdash g \in \llbracket \Pi(\alpha : *). \alpha \rightarrow \alpha \rrbracket$$

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$$\equiv \Pi(\alpha_1 : *) (\alpha_2 : *) (\alpha : (\alpha_1, \alpha_2) \in \llbracket * \rrbracket) (x_1 : \alpha_1) (x_2 : \alpha_2) (\dot{x} :$$

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Example

$$(f, g) \in \llbracket \Pi(\alpha : *) . \alpha \rightarrow \alpha \rrbracket_2 = f \Vdash g \in \llbracket \Pi(\alpha : *) . \alpha \rightarrow \alpha \rrbracket$$

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$$\dot{\alpha} x_1 x_2) . \dot{\alpha} (f \alpha_1 x_1) (g \alpha_2 x_2)$$

Working out the R.H.S.:

$$f \Vdash \Pi(\alpha_1 : *) (\dot{\alpha} : \alpha_1 \rightarrow \llbracket * \rrbracket) (x_1 : \alpha_1) (\dot{x} : \dot{\alpha} x_1) . \dot{\alpha} (g \alpha_1 x_1)$$

$$\equiv \Pi(\alpha_1 : *) (\alpha_2 : *) (\dot{\alpha} : \alpha_1 \rightarrow \alpha_2 \rightarrow \llbracket * \rrbracket) (x_1 : \alpha_1) (x_2 : \alpha_2)$$

$$(\dot{x} : \dot{\alpha} x_1 x_2) . \dot{\alpha} (g \alpha_1 x_1) (f \alpha_2 x_2)$$

Realizability to Parametricity

Using the theorem:

Theorem

For any tuple terms $(B, \overline{C})$,

- ▶ $(B, \overline{C}) \in \llbracket A \rrbracket_{n+1} = B \Vdash \overline{C} \in \llbracket A \rrbracket$
- ▶ $\langle A \rangle_{n+1} = \langle \langle A \rangle_n \rangle$

We can prove:

Corollary

- ▶ $\overline{C} \in \llbracket A \rrbracket_n = C_1 \Vdash C_2 \Vdash \dots \Vdash C_n \Vdash \lceil A \rceil$
- ▶ $\langle A \rangle_n = \langle \dots \langle \lceil A \rceil \rangle \dots \rangle$

Proof.

By induction on n , using $\langle A \rangle_0 = \lceil A \rceil$ for the base case. □

Third level

Definition (Third-level system)

Given a PTS $P = (\mathcal{S}, \mathcal{A}, \mathcal{R})$, define $P^3 = (P^2)^2$, where the sort-lifting $\lceil \cdot \rceil$ used by both instances of the $\cdot^2$ translation is the same.

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Given a PTS $P = (\mathcal{S}, \mathcal{A}, \mathcal{R})$, define $P^3 = (P^2)^2$, where the sort-lifting $\lceil \cdot \rceil$ used by both instances of the $\cdot^2$ translation is the same.

That is, $P^3 = (\mathcal{S}^3, \mathcal{A}^3, \mathcal{R}^3)$, where

$$\mathcal{S}^3 = \mathcal{S} \cup \lceil \mathcal{S} \rceil \cup \lceil \lceil \mathcal{S} \rceil \rceil$$

$$\mathcal{A}^3 = \mathcal{A} \cup \lceil \mathcal{A} \rceil \cup \lceil \lceil \mathcal{A} \rceil \rceil$$

$$\mathcal{R}^3 = \mathcal{R} \cup \lceil \mathcal{R} \rceil \cup \lceil \lceil \mathcal{R} \rceil \rceil$$

$$\cup \{(s_1, \lceil s_3 \rceil, \lceil s_3 \rceil), (\lceil s_1 \rceil, \lceil \lceil s_3 \rceil \rceil, \lceil \lceil s_3 \rceil \rceil) \mid (s_1, s_2, s_3) \in \mathcal{R}\}$$

$$\cup \{(s_1, \lceil s_2 \rceil, \lceil s_2 \rceil), (\lceil s_1 \rceil, \lceil \lceil s_2 \rceil \rceil, \lceil \lceil s_2 \rceil \rceil) \mid (s_1, s_2) \in \mathcal{A}\}$$

Extensions of parametricity and projection

- ▶ Extend parametricity ($C \in \llbracket T \rrbracket$) to map second-level constructs in P^2 to third-level constructs in P^3 .
- ▶ Extend projection ($\lfloor \cdot \rfloor$) to map third-level constructs to second level

Parametricity to realizability

Lemma

If A is a first-level term, then

$$A = \lfloor C \in \llbracket A \rrbracket_1 \rfloor \quad \text{and} \quad \langle A \rangle = \lfloor \langle A \rangle_1 \rfloor.$$

Proof.

By induction on the structure of A .



Parametricity to realizability

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If A is a first-level term, then

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Proof.

By induction on the structure of A . □

Theorem (From parametricity to realizability)

If A is a second-level term, then

$$C \Vdash A = \lfloor \lceil C \rceil \in \llbracket A \rrbracket_1 \rfloor \quad \text{and} \quad \langle A \rangle = \lfloor \langle A \rangle_1 \rfloor$$

Proof.

By induction on the structure of A , using the above lemma. □

From parametricity to realizability - Example

Theorem (From parametricity to realizability)

If A is a second-level term, then

$$C \Vdash A = \lfloor \lceil C \rceil \in \llbracket A \rrbracket_1 \rfloor \quad \text{and} \quad \langle A \rangle = \lfloor \llbracket A \rrbracket_1 \rfloor$$

Example

Consider $A = \dot{\alpha} \rightarrow \dot{\alpha}$. Then

$$\begin{aligned} & \lfloor \lceil C \rceil \in \llbracket \dot{\alpha} \rightarrow \dot{\alpha} \rrbracket_1 \rfloor \\ & \equiv \lfloor \Pi(\dot{x} : \dot{\alpha}). \dot{\alpha} \dot{x} \rightarrow \dot{\alpha}(\lceil C \rceil \dot{x}) \rfloor \\ & \equiv \Pi(x : \alpha). \dot{\alpha} x \rightarrow \dot{\alpha}(Cx) \end{aligned}$$

while

$$\begin{aligned} & C \Vdash \dot{\alpha} \rightarrow \dot{\alpha} \\ & \equiv \Pi(x : \alpha)(\dot{x} : x \Vdash \dot{\alpha}). \dot{\alpha}(Cx) \\ & \equiv \Pi(x : \alpha). \dot{\alpha} x \rightarrow \dot{\alpha}(Cx) \end{aligned}$$

Conclusion

- ▶ PTS P

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- ▶ From realizability to parametricity: n -ary parametricity is the composition of lifting and n realizability steps

$$\overline{C} \in \llbracket A \rrbracket_n = C_1 \Vdash C_2 \Vdash \dots \Vdash C_n \Vdash [A]$$

Conclusion

- ▶ PTS P
- ▶ p^2
- ▶ From realizability to parametricity: n -ary parametricity is the composition of lifting and n realizability steps

$$\overline{C} \in \llbracket A \rrbracket_n = C_1 \Vdash C_2 \Vdash \dots \Vdash C_n \Vdash \lceil A \rceil$$

- ▶ p^3

Conclusion

- ▶ PTS P
- ▶ p^2
- ▶ From realizability to parametricity: n -ary parametricity is the composition of lifting and n realizability steps

$$\overline{C} \in \llbracket A \rrbracket_n = C_1 \Vdash C_2 \Vdash \dots \Vdash C_n \Vdash \lceil A \rceil$$

- ▶ p^3
- ▶ From parametricity to realizability: realizability is the composition of parametricity and projection

$$C \Vdash A = \llbracket \lceil C \rceil \in \llbracket A \rrbracket_1 \rrbracket$$

Q & A