Ben Selfridge

July 13, 2014

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Outline

1 Introduction

- Multiprocessor Reasoning
- Weak Memory
- Goals of this talk

2 An Axiomatic Weak Memory Model

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- Concurrent Executions
- SC-Per-Location
- **3** ACL2 Mechanization

4 Conclusion

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Introduction

└_Multiprocessor Reasoning

Multiprocessor Reasoning

Goal: Analysis of programs written for multiple processors with a shared memory

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 $_$ Introduction

└─Multiprocessor Reasoning

Multiprocessor Reasoning

Two conceivable approaches:



Introduction

└─Multiprocessor Reasoning

Multiprocessor Reasoning

Two conceivable approaches:

• **Operational** - Create a **model** of a multiprocessor machine (e.g. in ACL2) and **mechanically prove** that certain properties of the program hold

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Use an oracle to model non-determinism of scheduler

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Use an oracle to model non-determinism of scheduler

• Axiomatic - derive a set of mathematical objects from the program and prove theorems about the structure of those objects

Introduction

└─Multiprocessor Reasoning

Multiprocessor Reasoning

Two conceivable approaches:

• **Operational** - Create a **model** of a multiprocessor machine (e.g. in ACL2) and **mechanically prove** that certain properties of the program hold

■ Use an oracle to model non-determinism of scheduler

• Axiomatic - derive a set of mathematical objects from the program and prove theorems about the structure of those objects

Both approaches have certain advantages and disadvantages

Introduction

Weak Memory

Complication: Weak Memory

 Practical MP architectures do not satisfy sequential consistency (one reason: write buffers)

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Weak Memory

Complication: Weak Memory

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Instead, they satisfy some weaker properties

Introduction

Weak Memory

Complication: Weak Memory

- Practical MP architectures do not satisfy sequential consistency (one reason: write buffers)
- Instead, they satisfy some weaker properties
- Axiomatic Memory Models attempt to capture the weaker consistency guarantees of most modern architectures as axioms

Introduction

Goals of this talk

Goal of this talk

What this talk is about:

¹Jade Alglave, Luc Maranget, and Michael Tautschnig. *Herding Cats* -*Modelling, simulation, testing, and data-mining for weak memory.* To appear in TOPLAS 2014. http://arxiv.org/abs/1308.6810 + (2) +

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 \blacksquare A partial description of one particular axiomatic memory framework 1

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What this talk is about:

- \blacksquare A partial description of one particular axiomatic memory framework 1
- An ACL2 mechanization of this framework
- A new proof of a nice equivalence result for this framework, and a mechanization of this proof

¹Jade Alglave, Luc Maranget, and Michael Tautschnig. *Herding Cats* -*Modelling, simulation, testing, and data-mining for weak memory.* To appear in TOPLAS 2014. http://arxiv.org/abs/1308.6810 + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = + < = +

Introduction

└─Goals of this talk

Goal of this talk

What this talk is about:

- \blacksquare A partial description of one particular axiomatic memory framework 1
- An ACL2 mechanization of this framework
- A new proof of a nice equivalence result for this framework, and a mechanization of this proof
- Ultimate goal: utilize this, or a similar, axiomatic framework to reason about an executable MP model

¹Jade Alglave, Luc Maranget, and Michael Tautschnig. *Herding Cats* -*Modelling, simulation, testing, and data-mining for weak memory.* To appear in TOPLAS 2014. http://arxiv.org/abs/1308.6810 + (2) +

An Axiomatic Weak Memory Model

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- Concurrent Executions
- SC-Per-Location

3 ACL2 Mechanization

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An Axiomatic Weak Memory Model

└─Concurrent Executions

Execution

An *execution* of a sequential program is a sequence of events that results from running the program on a particular set of inputs (or with a particular starting configuration).

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Concurrent Executions

Execution

An *execution* of a sequential program is a sequence of events that results from running the program on a particular set of inputs (or with a particular starting configuration).

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How does this translate to concurrent programs?

An Axiomatic Weak Memory Model

└─Concurrent Executions

Concurrent Executions

With multiple processors, an execution is not necessarily a linear sequence.

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An Axiomatic Weak Memory Model

└─Concurrent Executions

Concurrent Executions

With multiple processors, an execution is not necessarily a linear sequence.

Instead, we represent it as a graph, consisting of a collection of **events** with various kinds of directed edges.

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└An Axiomatic Weak Memory Model

└─Concurrent Executions

Events

Definition

An *event* is a read or a write.

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└An Axiomatic Weak Memory Model

└─Concurrent Executions

Events

Components of an event:



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└─Concurrent Executions

Events

Components of an event:

■ Type (read or write)

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Events

Components of an event:

■ Type (read or write)

Memory location

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Concurrent Executions

Events

Components of an event:

- Type (read or write)
- Memory location
- Value read or written

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└─Concurrent Executions

Events

Components of an event:

- Type (read or write)
- Memory location
- Value read or written

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Process number

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└─Concurrent Executions

Concurrent Executions

Definition

An *execution* is a tuple (\mathbb{E}, po, rf, co) , where \mathbb{E} is a set of events and po, rf, and co are relations on \mathbb{E} satisfying

- An Axiomatic Weak Memory Model
 - └─Concurrent Executions

Concurrent Executions

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po is a total order on events in the same process

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Concurrent Executions

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- **po** is a total order on events in the same process
- **co** is a total order on writes to the same location

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Concurrent Executions

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- rf is a relation from writes to reads s.t. for each read r, there is exactly one write w such that $w \xrightarrow{\text{rf}} r$ and $\mathsf{val}(w) = \mathsf{val}(r)$

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 $po\ {\rm is}\ {\rm "program}\ {\rm order"},\ co\ {\rm is}\ {\rm "coherence}\ {\rm order"},\ rf\ {\rm is}\ {\rm "read-from"}$

An Axiomatic Weak Memory Model

└─Concurrent Executions

Concurrent Executions

Define $\mathsf{fr}=\mathsf{r}\mathsf{f}^{-1}\circ\mathsf{co}$ to represent a write that must come after a read

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An Axiomatic Weak Memory Model

└─Concurrent Executions

Concurrent Executions

Define $fr = rf^{-1} \circ co$ to represent a write that must come after a read

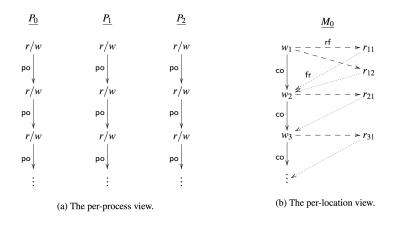
co, **rf**, and **fr** are *per-location* dependencies; they relate events which occur at the same memory location only

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└─Concurrent Executions

Concurrent Executions



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An Axiomatic Weak Memory Model

└SC-Per-Location

Sequential consistency (SC)

Sequential consistency²: "The result of any execution is the same as if the operations of all the processors were executed in some sequential order, and the operations of each individual processor appear in this sequence in the order specified by its program."

²[3] Leslie Lamport. How to make a multiprocessor computer that correctly executes multiprocess programs. IEEE Transactions on Computers, September 1979

An Axiomatic Weak Memory Model

└SC-Per-Location

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In our framework, we interpret this as the condition

 $\mathsf{acyclic}(\mathsf{po} \cup \mathsf{co} \cup \mathsf{rf} \cup \mathsf{fr})$

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Modern architectures do not satisfy this constraint.

SC-Per-Location

Although we don't usually have full sequential consistency, we do have an **analogous notion** that is enforced by most modern architectures:

```
\mathsf{acyclic}(\mathsf{pol} \cup \mathsf{co} \cup \mathsf{rf} \cup \mathsf{fr}),
```

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where **pol** is **po** restricted to events at the same memory location.

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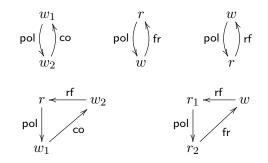
where **pol** is **po** restricted to events at the same memory location.

We refer to this condition as SC-Per-Location.

It is one of the axioms used in Alglave et. al. [2]

SC-Per-Location

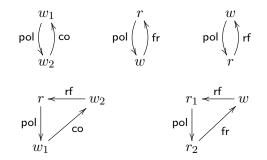
SC-Per-Location is equivalent to prohibiting the following five patterns:



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SC-Per-Location

SC-Per-Location is equivalent to prohibiting the following five patterns:



We formalized SC-Per-Location in ACL2 and proved this equivalence.

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└ACL2 Mechanization

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• We presented a (partial) mechanization in ACL2 of an axiomatic model of weak memory

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Conclusion



- We presented a (partial) mechanization in ACL2 of an axiomatic model of weak memory
- This included a new proof of an equivalence theorem

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Conclusion

Where is this going?

I plan to integrate an "axiomatic" approach like this with an operational semantics in the following manner:

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1 As we execute our model (i.e. using an oracle), simultaneously construct one of these graphs

I plan to integrate an "axiomatic" approach like this with an operational semantics in the following manner:

- **As we execute** our model (i.e. using an oracle), simultaneously **construct one of these graphs**
- 2 Demonstrate, for all programs and oracles, any graph produced by such an execution satisfies certain structural properties

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- 2 Demonstrate, for all programs and oracles, any graph produced by such an execution satisfies certain structural properties
- **3** To prove a program has property *P*, show that **any execution** of that program that **fails to satisfy** *P* will produce an **invalid graph**

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- **As we execute** our model (i.e. using an oracle), simultaneously **construct one of these graphs**
- 2 Demonstrate, for all programs and oracles, any graph produced by such an execution satisfies certain structural properties
- **3** To prove a program has property *P*, show that **any execution** of that program that **fails to satisfy** *P* will produce an **invalid graph**

An "invalid" graph could be, for instance, one that violates SC-Per-Location

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_____ Conclusion

Where is this going?

Ultimate goal:

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Where is this going?

Ultimate goal:

• Verify multiprocessor code with an *executable* model (in ACL2)

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Conclusion

Where is this going?

Ultimate goal:

- Verify multiprocessor code with an *executable* model (in ACL2)
- But we don't want to reason directly about store buffers!

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-Conclusion

Where is this going?

Ultimate goal:

- Verify multiprocessor code with an *executable* model (in ACL2)
- But we don't want to reason directly about store buffers!
- Unite the model with a higher-level reasoning strategy to handle weak memory more transparently

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-Conclusion

References

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Conclusion

Operational vs. Axiomatic

 Operational semantics have a closer connection to the actual architecture being modeled, whereas an axiomatic approach makes a lot of assumptions

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-Conclusion

Operational vs. Axiomatic

- Operational semantics have a closer connection to the actual architecture being modeled, whereas an axiomatic approach makes a lot of assumptions
- Axiomatic models can be easier to reason about; fully modeling an MP architecture can be messy from a theorem-proving perspective

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