

As programming language

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Combining uniqueness and linearity in one type system

Tanja Muller

January 20, 2025

Uniqueness and Linearity



Typing rules

As programming language

Papers

Uniqueness Logic
 Dana Harrington
 TCP 2006





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Formalizes uniqueness in a logic, type system and category



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Papers

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 Linearity and Uniqueness: An Entente Cordiale Danielle Marshall, Michael Vollmer, Dominic Orchard European Symposium on Programming 2022



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Papers

Uniqueness Logic
 Dana Harrington
 TCP 2006

Formalizes uniqueness in a logic, type system and category

- Linearity and Uniqueness: An Entente Cordiale Danielle Marshall, Michael Vollmer, Dominic Orchard European Symposium on Programming 2022
 - Combines uniqueness and linearity in a single type system/programming language



Content

Uniqueness and Linearity

- What are these concepts + their use?
- How do they relate?



Content

- Uniqueness and Linearity
 - What are these concepts + their use?
 - How do they relate?
- Logic
 - Compare Harrington's uniqueness logic with linear logic



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 - Uniqueness and linearity combined in typing rules from Marshall et al.



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- Uniqueness and Linearity
 - What are these concepts + their use?
 - How do they relate?
- Logic
 - Compare Harrington's uniqueness logic with linear logic
- Typing rules
 - Uniqueness and linearity combined in typing rules from Marshall et al.
- As programming language
 - Implement these typing rules in programming language
 - Performance



As programming language

Linearity

A linear variable must be used exactly once





As programming language

Linearity

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(Affine: used at most once)





As programming language

Linearity

- A linear variable must be used exactly once
- (Affine: used at most once)
- Example: a linear variable cake





As programming language

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- (Affine: used at most once)
- Example: a linear variable cake
- "You can't have your cake and eat it too"



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As programming language

Linearity

- A linear variable must be used exactly once
- (Affine: used at most once)
- Example: a linear variable cake
- "You can't have your cake and eat it too"
- In Granule, where types are by default linear:



impossible : Cake -> (Happy, Cake)
impossible cake = (eat cake, have cake)



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Use of linearity

For example useful for file handling twoChars : (Char, Char) <IO> twoChars = let h <- openHandle ReadMode "someFile"; (h, c1) <- readChar h; (h, c2) <- readChar h; () <- closeHandle h in pure (c1, c2)



Use of linearity

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- Must always have only 1 file handle for a certain file
- Must always close the file when done with it
- Each time, new file handle created after use, and each file handle is used exactly once



As programming language

Uniqueness



A unique variable must have at most one reference to it





As programming language

Uniqueness

- A unique variable must have **at most one reference** to it
- Different from affine types, which must be used at most once



Uniqueness

- A unique variable must have at most one reference to it
- ▶ Different from affine types, which must be *used* at most once
- Subtle difference:
 - Affine variables may not be copied
 - There may not exist copies of unique variables



As programming language

Example uniqueness

 Example: unique variable for a cinema ticket



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As programming language

Example uniqueness

- Example: unique variable for a cinema ticket
- A unique ticket can be used at the cinema





As programming language

Example uniqueness

- Example: unique variable for a cinema ticket
- A unique ticket can be used at the cinema
- It's fine if you don't use the ticket though





As programming language

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- It's fine if you don't use the ticket though
- You can't sell a copy of your ticket to someone and still expect to be able to use it yourself at the cinema (it's only valid once)



As programming language

Example uniqueness

- Example: unique variable for a cinema ticket
- A unique ticket can be used at the cinema



- It's fine if you don't use the ticket though
- You can't sell a copy of your ticket to someone and still expect to be able to use it yourself at the cinema (it's only valid once)
- If all types are by default unique:

```
impossible : Ticket -> (Cash, Ticket)
impossible ticket = (sell ticket, ticket)
```



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Use of uniqueness



Consider for example mutable arrays





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Use of uniqueness



With lazy evaluation the order of actions is not always clear





As programming language

Use of uniqueness

- Consider for example mutable arrays
- With lazy evaluation the order of actions is not always clear

Example:

```
a = [1,1,3,4]
f(a, writeArray(a,1,2))
```





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Use of uniqueness

- Consider for example mutable arrays
- With lazy evaluation the order of actions is not always clear
- Example:

```
a = [1,1,3,4]
```

- f(a, writeArray(a,1,2))
- We want to prevent this



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Use of uniqueness

To fix this, you get a new array reference from read/write operations:

$$a0 = [15, 25, 30]$$

Use of uniqueness

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- If you know your reference is unique, you can directly update the array instead of having to copy the whole array

Use of uniqueness

To fix this, you get a new array reference from read/write operations:

$$a0 = [15, 25, 30]$$

- a1 = writeArray(a0, 35, 2)
- If you know your reference is unique, you can directly update the array instead of having to copy the whole array
- ► Therefore, only allow at most one reference

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Relation between linearity and uniqueness

Uniqueness: constraint about past

Guarantees that the reference has not yet been duplicated

Relation between linearity and uniqueness

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- Linearity: constraint about future
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Relation between linearity and uniqueness

Uniqueness: constraint about past

- Guarantees that the reference has not yet been duplicated
- Linearity: constraint about future
 - Guarantees that it will not be duplicated nor discarded from here on
- Consider the earlier example with mutable arrays
 - Linearity is too restrictive: discarding arrays is no problem
 - Linear (or affine) types are not strong enough: could have previously been a non-linear variable that was duplicated multiple times before being specialized to linear

As programming language

Shared / non-linear variables

Difference in how they relate to unrestricted variables



As programming language

Shared / non-linear variables

Difference in how they relate to unrestricted variables

Relation linear vs non-linear

- Difference in how they relate to unrestricted variables
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 - Linear cannot be turned into non-linear, because then we can't guarantee they'll be used exactly once

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- Relation unique vs shared (=non-unique)

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- Difference in how they relate to unrestricted variables
- Relation linear vs non-linear
 - Linear cannot be turned into non-linear, because then we can't guarantee they'll be used exactly once
 - Non-linear can be turned into linear, since it doesn't matter for linearity what happened to it before
- Relation unique vs shared (=non-unique)
 - Unique can be turned into shared by "forgetting" the constraint about their past
 - Shared cannot be turned into unique, since they might have been duplicated already



As programming language

Paper: Uniqueness Logic

Uniqueness Logic by Dana Harrington





As programming language

Paper: Uniqueness Logic

- Uniqueness Logic by Dana Harrington
- Goal: formalize/interpret uniqueness in several ways
 - Logic
 - Typing rules
 - Category





As programming language

Paper: Uniqueness Logic

- Uniqueness Logic by Dana Harrington
- Goal: formalize/interpret uniqueness in several ways
 - Logic
 - Typing rules
 - Category
- We will discuss some of the rules of this logic and compare them with linear logic



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As programming language

Comparison logic - introduction modalities

 \blacktriangleright Non-linear modality ! and shared modality \circ



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Comparison logic - introduction modalities

- ▶ Non-linear modality ! and shared modality ∘
- Left/right introduction rules for both

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Comparison logic - introduction modalities

- Non-linear modality ! and shared modality o
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$$\frac{\Gamma, P \vdash Q}{\Gamma, !P \vdash Q} !_L$$
$$\frac{!\Gamma \vdash P}{!\Gamma \vdash !P} !_R$$
Linear Logic

Comparison logic - introduction modalities

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$$\frac{\Gamma, P \vdash Q}{\Gamma, !P \vdash Q} !_L$$
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Linear Logic

$$\frac{\Gamma, P \vdash Q^{\circ}}{\Gamma, P^{\circ} \vdash Q^{\circ}} \circ_{L}$$
$$\frac{\Gamma \vdash P}{\Gamma \vdash P^{\circ}} \circ_{R}$$
Uniqueness Logic

Comparison logic - introduction modalities

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$$\frac{\Gamma, P \vdash Q}{\Gamma, !P \vdash Q} !_{L} \qquad \qquad \frac{\Gamma, P \vdash Q^{\circ}}{\Gamma, P^{\circ} \vdash Q^{\circ}} \circ_{L} \\
\frac{!\Gamma \vdash P}{!\Gamma \vdash !P} !_{R} \qquad \qquad \frac{\Gamma \vdash P}{\Gamma \vdash P^{\circ}} \circ_{R} \\
\text{Linear Logic} \qquad \qquad \text{Uniqueness Logic}$$

► introduction of ! on the left is unrestricted, while introduction of ∘ on the right is unrestricted

Comparison logic - contraction & weakening

Thus, uniqueness and linearity behave dually w.r.t. their relation with unrestricted values

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$$\frac{\Gamma, !P, !P \vdash R}{\Gamma, !P \vdash R} !_{\text{contraction}}$$
$$\frac{\Gamma \vdash R}{\Gamma, !P \vdash R} !_{\text{weakening}}$$
Linear Logic

- Thus, uniqueness and linearity behave dually w.r.t. their relation with unrestricted values
- However, for contraction and weakening, both modalities act exactly the same way

$$\begin{array}{c} \hline{\Gamma, !P, !P \vdash R} \\ \hline{\Gamma, !P \vdash R} !_{contraction} \end{array} \qquad \begin{array}{c} \hline{\Gamma, P^{\circ}, P^{\circ} \vdash R} \\ \hline{\Gamma, P^{\circ} \vdash R} !_{weakening} \end{array} \qquad \begin{array}{c} \hline{\Gamma, P^{\circ} \vdash R} \\ \hline{\Gamma, P^{\circ} \vdash R} !_{weakening} \end{array} \qquad \begin{array}{c} \hline{\Gamma, P^{\circ} \vdash R} \\ \hline{\Gamma, P^{\circ} \vdash R} \\ \hline{Uniqueness Logic} \end{array}$$

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Typing rules

Harrington also made typing rules based on this





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Typing rules

- Harrington also made typing rules based on this
- Closely related to the logic, through the Curry-Howard isomorphism
 - Types correspond to formulas in the logic



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- Later, these typing rules are used by other researchers
 - Uniqueness Typing for Resource Management in Message-Passing Concurrency (Hennessey et al.)



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 - Uniqueness Typing for Resource Management in Message-Passing Concurrency (Hennessey et al.)
 - Linearity and Uniqueness: An Entente Cordiale (Marshall et al.)



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Paper: Linearity and Uniqueness

 Linearity and Uniqueness: An Entente Cordiale by Danielle Marshall, Michael Vollmer and Dominic Orchard



- Linearity and Uniqueness: An Entente Cordiale by Danielle Marshall, Michael Vollmer and Dominic Orchard
- Goal: combine uniqueness and linearity in one system / programming language



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- Because it's faster, better performance
 - With the guarantees from linearity/uniqueness, you don't have to account for when a value is non-linear/shared
 - You don't have to copy an array to edit it if you know it's unique, then you can edit in-place
- They create a type system containing both unique and linear types (partly based on typing rules from Harrington)



As programming language

Base system

They use lazy evaluation





As programming language

Base system

They use lazy evaluation

The base system is linearly typed




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Base system

- They use lazy evaluation
- The base system is linearly typed
 - Already the default in the used programming language, Granule



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Base system

- They use lazy evaluation
- The base system is linearly typed
 - Already the default in the used programming language, Granule
 - Avoids problems; for example if a product (t₁, t₂) of two linear values would be unique by default, then it can be converted to an unrestricted value and can then be duplicated

Uniqueness and Linearity



Typing rules

As programming language

Modalities

They have a uniqueness modality *





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Modalities

- They have a uniqueness modality *
- They treat non-linearity and non-uniqueness as the same state: both are unrestricted



As programming language

Modalities

- They have a uniqueness modality *
- They treat non-linearity and non-uniqueness as the same state: both are unrestricted
- Therefore, they have a single unrestricted modality !



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Modalities

- They have a uniqueness modality *
- They treat non-linearity and non-uniqueness as the same state: both are unrestricted
- Therefore, they have a single unrestricted modality !
- Progression: unique \rightarrow unrestricted \rightarrow linear



As programming language

Syntax

▶ Terms, usually denoted by *t*. For example:





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 - lambda terms: x, $\lambda x.t$, $t_1 t_2$





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As programming language

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 - products (t_1, t_2)



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- ▶ Terms, usually denoted by *t*. For example:
 - lambda terms: x, $\lambda x.t$, $t_1 t_2$
 - unrestricted terms !t, unique terms *t, borrowed terms &t
 - products (t_1, t_2)
 - unit, which can be seen as the empty product



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 - **b** products (t_1, t_2)
 - unit, which can be seen as the empty product
 - some constructs like: copy t_1 as x in t_2 , let $!x = t_1$ in t_2



Syntax



lambda terms: x, $\lambda x.t$, $t_1 t_2$

unrestricted terms !t, unique terms *t, borrowed terms &t

• products (t_1, t_2)

unit, which can be seen as the empty product

• some constructs like: copy t_1 as x in t_2 , let $!x = t_1$ in t_2

$$A,B ::= A \multimap B \mid A \otimes B \mid 1 \mid !A \mid *A$$



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Syntax

Terms, usually denoted by t. For example:
lambda terms: x, λx.t, t₁ t₂
unrestricted terms !t, unique terms *t, borrowed terms &t
products (t₁, t₂)
unit, which can be seen as the empty product
some constructs like: copy t₁ as x in t₂, let !x = t₁ in t₂

Types

$$A,B ::= A \multimap B \mid A \otimes B \mid 1 \mid !A \mid *A$$

$$\Gamma ::= \emptyset \mid \Gamma, x : A \mid \Gamma, x : [A]$$



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Syntax



$$A,B ::= A \multimap B \mid A \otimes B \mid 1 \mid !A \mid *A$$

Typing contexts

$$\Gamma ::= \emptyset \mid \Gamma, x : A \mid \Gamma, x : [A]$$



 \blacktriangleright Typing judgements $\Gamma \vdash t : A$



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Typing contexts

Non-linear assignments in a typing context are denoted by x : [A]



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- These variables can be used multiple times





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- Note: different from x : !A, which we'll see more clearly in the typing rules



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- We write [Γ] to mark a context as containing only non-linear assignments (which includes the empty context)



Typing contexts

- Non-linear assignments in a typing context are denoted by x : [A]
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- Note: different from x : !A, which we'll see more clearly in the typing rules
- We write [Γ] to mark a context as containing only non-linear assignments (which includes the empty context)

Definition 1

Context addition $\Gamma_1 + \Gamma_2$ is the union of two contexts as long as every variable x that occurs in both contexts is assigned the same non-linear type in both contexts (x : [A]).



As programming language

Typing rules: λ -calculus

• The usual λ -calculus is typed by these three typing rules



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Typing rules: λ -calculus

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 $\overline{[\Gamma], x : A \vdash x : A}$ VAR





As programming language

Typing rules: λ -calculus

• The usual λ -calculus is typed by these three typing rules

$$\frac{\Gamma}{[\Gamma], x : A \vdash x : A} \text{ VAR} \qquad \frac{\Gamma, x : A \vdash t : B}{\Gamma \vdash \lambda x.t : A \multimap B} \text{ ABS}$$



As programming language

Typing rules: λ -calculus

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As programming language

Typing rules: λ -calculus

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Typing rules: λ -calculus

• The usual λ -calculus is typed by these three typing rules

$$\frac{[\Gamma], x : A \vdash x : A}{[\Gamma], x : A \vdash x : A} \operatorname{VAR} \qquad \frac{\Gamma, x : A \vdash t : B}{\Gamma \vdash \lambda x.t : A \multimap B} \operatorname{ABS} \\
\frac{\Gamma_1 \vdash t_1 : A \multimap B}{\Gamma_1 \vdash \Gamma_2 \vdash t_1 t_2 : B} \operatorname{APP}$$

- For variables, abstraction and application
- Note that for VAR, the rest of the typing context has to be non-linear while the variable itself is linear

As programming language

Typing rules: non-linear modality

► Introduction rule / promotion $\frac{[\Gamma] \vdash t : A}{[\Gamma] \vdash !t : !A} !_{I}$



As programming language

Typing rules: non-linear modality

► Introduction rule / promotion $\frac{[\Gamma] \vdash t : A}{[\Gamma] \vdash !t : !A} !_{I} \qquad \frac{!\Gamma \vdash P}{!\Gamma \vdash !P} !_{R}$



Typing rules: non-linear modality

Introduction rule / promotion
$$\frac{[\Gamma] \vdash t : A}{[\Gamma] \vdash !t : !A} !_{I} \qquad \frac{!\Gamma \vdash P}{!\Gamma \vdash !P} !_{R}$$
Elimination rule
$$\frac{\Gamma_{1} \vdash t_{1} : !A \qquad \Gamma_{2}, x : [A] \vdash t_{2} : B}{\Gamma_{1} + \Gamma_{2} \vdash \text{let } !x = t_{1} \text{ in } t_{2} : B} !_{E}$$

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Typing rules: non-linear modality

Introduction rule / promotion
$$\frac{[\Gamma] \vdash t : A}{[\Gamma] \vdash !t : !A} !_{I} \qquad \frac{!\Gamma \vdash P}{!\Gamma \vdash !P} !_{R}$$
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Derediction rule: non-linear variables can be used linearly
$$\frac{\Gamma, x : A \vdash t : B}{\Gamma, x : [A] \vdash t : B} \text{ DER}$$

Typing rules: non-linear modality

Introduction rule / promotion
$$\frac{[\Gamma] \vdash t : A}{[\Gamma] \vdash !t : !A} !_{I} \qquad \frac{!\Gamma \vdash P}{!\Gamma \vdash !P} !_{R}$$
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As programming language

Typing rules: uniqueness modality

► Borrowing: "forget" uniqueness guarantee $\frac{\Gamma \vdash t : *A}{\Gamma \vdash \& t : !A} \text{ BORROW}$



Typing rules: uniqueness modality

► Borrowing: "forget" uniqueness guarantee $\frac{\Gamma \vdash t : *A}{\Gamma \vdash \& t : !A} \text{ BORROW} \qquad \frac{\Gamma \vdash P}{\Gamma \vdash P^{\circ}} \circ_R$

Typing rules: uniqueness modality

 $\Gamma_1 + \Gamma_2 \vdash \text{copy } t_1 \text{ as } x \text{ in } t_2 : !B$

Typing rules: uniqueness modality

Typing rules: uniqueness modality

$$\frac{\emptyset \vdash t : A}{[\Gamma] \vdash *t : *A}$$
 NEC

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As programming language •00000

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From typing rules to programming language

As application of these typing rules, we want to implement them into a programming language

From typing rules to programming language

- As application of these typing rules, we want to implement them into a programming language
- Then we can compare performance of a language with both unique and linear types

From typing rules to programming language

- As application of these typing rules, we want to implement them into a programming language
- Then we can compare performance of a language with both unique and linear types
- ▶ However, then we first need to define operational semantics



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Heap model

▶ The paper made an operational heap model





As programming language

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Heap model

The paper made an operational heap model

Steps like:

$$H, x \mapsto_1 t \vdash x \quad \rightsquigarrow \quad H \vdash t$$



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Heap model

The paper made an operational heap model

Steps like:

$$H, x \mapsto_1 t \vdash x \quad \rightsquigarrow \quad H \vdash t$$

Also include arrays and operations on them
As a use case for uniqueness



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Metatheory



▶ In the paper, a couple theorems are proven about the model





Metatheory

In the paper, a couple theorems are proven about the model
Conservation: after reduction step, term still has same type in a typing context compatible with the heap





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Metatheory

▶ In the paper, a couple theorems are proven about the model

- Conservation: after reduction step, term still has same type in a typing context compatible with the heap
- Progress: if well-typed term is not a value, another reduction step is possible



Metatheory

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- Conservation: after reduction step, term still has same type in a typing context compatible with the heap
- Progress: if well-typed term is not a value, another reduction step is possible
- Soundness: if two well-typed terms are equivalent $(t_1 \equiv t_2)$, then there is a value to which they both reduce

Metatheory

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- Conservation: after reduction step, term still has same type in a typing context compatible with the heap
- Progress: if well-typed term is not a value, another reduction step is possible
- Soundness: if two well-typed terms are equivalent $(t_1 \equiv t_2)$, then there is a value to which they both reduce
- Uniqueness: if a term reduces to a unique value, then any unique array references from the incoming heap or new array references that occur in that value are still unique

Metatheory

In the paper, a couple theorems are proven about the model

- Conservation: after reduction step, term still has same type in a typing context compatible with the heap
- Progress: if well-typed term is not a value, another reduction step is possible
- Soundness: if two well-typed terms are equivalent $(t_1 \equiv t_2)$, then there is a value to which they both reduce
- Uniqueness: if a term reduces to a unique value, then any unique array references from the incoming heap or new array references that occur in that value are still unique
- Uniqueness theorem is incorrect!



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Implementation

Implemented in Granule: linearly typed language





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- Implemented in Granule: linearly typed language
- Operations for arrays: new, read, write, delete



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- Implemented in Granule: linearly typed language
- Operations for arrays: new, read, write, delete
- Write operation updates unique array destructively in place



- Implemented in Granule: linearly typed language
- Operations for arrays: new, read, write, delete
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 - Allowed because we have uniqueness guarantee



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- Implemented in Granule: linearly typed language
- Operations for arrays: new, read, write, delete
- Write operation updates unique array destructively in place
 - Allowed because we have uniqueness guarantee
- Compared performance with/without unique arrays



As programming language

Performance

Benchmark iteration: allocate list of 1000 arrays, populate arrays with values, traverse list to sum them up



Uniqueness and Linearity



Typing rules

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Questions?

Counterexample uniqueness theorem

- Uniqueness: if a term reduces to a unique value, then any unique array references from the incoming heap or *new array references* that occur in that value are still unique
- Consider following heap + (well-typed) term

$$\emptyset \vdash *(\text{let } ! x = \&(\text{newArray 5}) \text{ in } x) : *(\text{Array } A)$$

Reduces to

$$a \mapsto_{\omega} \operatorname{arr}, x \mapsto_{\omega} a \vdash *a$$

 $\blacktriangleright \omega$ means that array reference *a* is not unique

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Mistake in proof

- Proof of uniqueness theorem is by induction over typing rules
- Problem is with necessitation rule

$$\frac{\emptyset \vdash t : A}{[\Gamma] \vdash *t : *A}$$
 NEC

- ► IH: "For a well-typed term $\Gamma \vdash t_1 : *B \dots$ "
- ▶ In the proof, they apply results of IH for $\emptyset \vdash t : A$ from NEC
- A is not necessarily a unique type *B for some B

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