#### Lambda-Calculus and Type Theory ISR 2024 Obergurgl, Austria

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Lecture 8

Meta theory of type systems and type checking algorithm

What do we want to prove about type systems?

The Meta Theory of type theory. Central result that we want:

Decidability of Typing, which comes in two forms:

- Type Checking: Given  $\Gamma$ , M, A, is it the case that  $\Gamma \vdash M : A$ ?
- Type Synthesis: Given  $\Gamma$ , M, can we compute an A such that  $\Gamma \vdash M : A$  and otherwise decide that there is no such A?

These problems are equivalent.

## Meta theory of type systems

More basic properties we want (and some are needed for Decidability of typing)

- Subject Reduction (or Closure, or Preservation of typing) If  $\Gamma \vdash M : A$  and  $M \rightarrow_{\beta} N$ , then  $\Gamma \vdash N : A$
- ► Church-Rosser (next lecture: for  $\beta$ -reduction) If  $M \twoheadrightarrow_{\beta} P_1$  and  $M \twoheadrightarrow_{\beta} P_2$ , then  $\exists Q(P_1 \twoheadrightarrow_{\beta} Q \land P_2 \twoheadrightarrow_{\beta} Q)$ .

Normalization (two lectures ahead)

- Weak Normalization, WN, a term *M* is WN if ∃*P* ∈ NF(*M* →<sub>β</sub> *P*). NB. NF is the set of normal forms, terms that cannot be reduced.
- Strong Normalization, SN, a term *M* is SN if  $\neg \exists (P_i)_{i \in \mathbb{N}} (M = P_0 \rightarrow_{\beta} P_1 \rightarrow_{\beta} P_2 \rightarrow_{\beta} \ldots).$

Progress

If  $\vdash M : A$ , then either  $\exists P(M \rightarrow_{\beta} P)$  or M is a value

## Subject Reduction

LEMMA If  $\Gamma \vdash M : A$  and  $M \rightarrow_{\beta} N$ , then  $\Gamma \vdash N : A$ 

PROOF By induction on M. The base case is when  $M = (\lambda x:B.P)Q \rightarrow_{\beta} P[x := Q] = N$ . This is also the only interesting case. It goes roughly as follows

 $\frac{\Gamma, x: B \vdash P : C}{\frac{\Gamma \vdash \lambda x: B.P : \Pi x: B.C}{\Gamma \vdash (\lambda x: B.P)Q : C[x := Q]}}$ 

And we need to prove that  $\Gamma \vdash P[x := Q] : C[x := Q]$ .

This is proved by proving a Substitution Lemma: SUBSTITUTION LEMMA: If  $\Gamma, x : B \vdash P : C$  and  $\Gamma \vdash Q : B$ , then  $\Gamma \vdash P[x := Q] : C[x := Q].$  SUBSTITUTION LEMMA: If  $\Gamma, x : B \vdash P : C$  and  $\Gamma \vdash Q : B$ , then  $\Gamma \vdash P[x := Q] : C[x := Q].$ PROOF By induction on the derivation of  $\Gamma, x : B \vdash P : C$ .

But that doesn't work: one has to prove something slightly more general. SUBSTITUTION LEMMA: If  $\Gamma, x : B \Delta \vdash P : C$  and  $\Gamma \vdash Q : B$ , then  $\Gamma, \Delta[x := Q] \vdash P[x := Q] : C[x := Q].$ PROOF By induction on the derivation of  $\Gamma, x : B, \Delta \vdash P : C$ .

# Type Checking for $\lambda P$

Define algorithms Ok(-) and  $Type_{-}(-)$  simultaneously:

- ▶ Ok(-) takes a context and returns 'true' or 'false'
- Type\_(-) takes a context and a term and returns a term or 'false'.

The type synthesis algorithm  $Type_{-}(-)$  is sound if (for all  $\Gamma$  and M)

 $\operatorname{Type}_{\Gamma}(M) = A \implies \Gamma \vdash M : A$ 

The type synthesis algorithm  $Type_{-}(-)$  is complete if (for all  $\Gamma$ , M and A)

$$\Gamma \vdash M : A \implies \operatorname{Type}_{\Gamma}(M) =_{\beta} A$$

- A proof assistant like Coq is based on a type checking algorithm.
- The type checking algorithm is the trusted kernel of Coq

$$Ok(<>) = 'true'$$

$$Ok(\Gamma, x: A) = Type_{\Gamma}(A) \in \{*, \Box\},\$$

 $\operatorname{Type}_{\Gamma}(x) \hspace{.1in} = \hspace{.1in} \operatorname{if} \operatorname{Ok}(\Gamma) \text{ and } x : A \in \Gamma \text{ then } A \text{ else 'false'},$ 

$$\operatorname{Type}_{\Gamma}(*) = \operatorname{if Ok}(\Gamma) \operatorname{then} \Box \operatorname{else} \operatorname{`false'},$$

$$Type_{\Gamma}(MN) = if Type_{\Gamma}(M) = C and Type_{\Gamma}(N) = D$$
  
then if  $C \twoheadrightarrow_{\beta} \Pi x: A.B$  and  $A =_{\beta} D$   
then  $B[x := N]$  else 'false'  
else 'false',

$$\begin{aligned} \text{Type}_{\Gamma}(\lambda x : A.M) &= & \text{if } \text{Type}_{\Gamma, x : A}(M) = B \\ & \text{then} & \text{if } \text{Type}_{\Gamma}(\Pi x : A.B) \in \{*, \Box\} \\ & \text{then } \Pi x : A.B \text{ else 'false'} \\ & \text{else 'false',} \end{aligned}$$
$$\begin{aligned} \text{Type}_{\Gamma}(\Pi x : A.B) &= & \text{if } \text{Type}_{\Gamma}(A) = * \text{ and } \text{Type}_{\Gamma, x : A}(B) = s \\ & \text{then } s \text{ else 'false'} \end{aligned}$$

Soundness and Completeness

Soundness

$$\operatorname{Type}_{\Gamma}(M) = A \implies \Gamma \vdash M : A$$

Completeness

$$\Gamma \vdash M : A \implies \operatorname{Type}_{\Gamma}(M) =_{\beta} A$$

As a consequence:

#### $\operatorname{Type}_{\Gamma}(M) = \text{`false'} \implies M \text{ is not typable in } \Gamma$

NB 1. Completeness implies that Type terminates on all well-typed terms. We want that Type terminates on all pseudo terms. NB 2. Completeness only makes sense if we have uniqueness of types (Otherwise: let Type\_(-) generate a set of possible types)

## Termination

We want  $Type_{-}(-)$  to terminate on all inputs. Interesting cases:  $\lambda$ -abstraction and application:

$$\begin{split} \mathrm{Type}_{\Gamma}(\lambda x : A.M) &= & \mathrm{if} \ \mathrm{Type}_{\Gamma, x : A}(M) = B \\ & \mathrm{then} & \mathrm{if} \ \mathrm{Type}_{\Gamma}(\Pi x : A.B) \in \{*, \Box\} \\ & \mathrm{then} \ \Pi x : A.B \ \mathrm{else} \ \mathrm{`false'} \\ & \mathrm{else} \ \mathrm{`false'}, \end{split}$$

! Recursive call is not on a smaller term! Replace the side condition

 $\text{if Type}_{\Gamma}(\Pi x : A.B) \in \{*, \Box\}$ 

by

 $\text{if Type}_{\Gamma}(A) \in \{*\}$ 

## Termination

We want  $Type_{-}(-)$  to terminate on all inputs. Interesting cases:  $\lambda$ -abstraction and application:

$$Type_{\Gamma}(MN) = if Type_{\Gamma}(M) = C and Type_{\Gamma}(N) = D$$
  
then if  $C \twoheadrightarrow_{\beta} \prod x: A.B$  and  $A =_{\beta} D$   
then  $B[x := N]$  else 'false'  
else 'false',

! Need to decide  $\beta$ -reduction and  $\beta$ -equality! For this case, termination follows from:

- ► Soundness of Type and
- Decidability of equality on well-typed terms.

This decidability of equality follows from SN (strong normalization) and CR (Church-Rosser property) – to be discussed in later lectures.